



# REPORTER

## Automated regulatory compliance

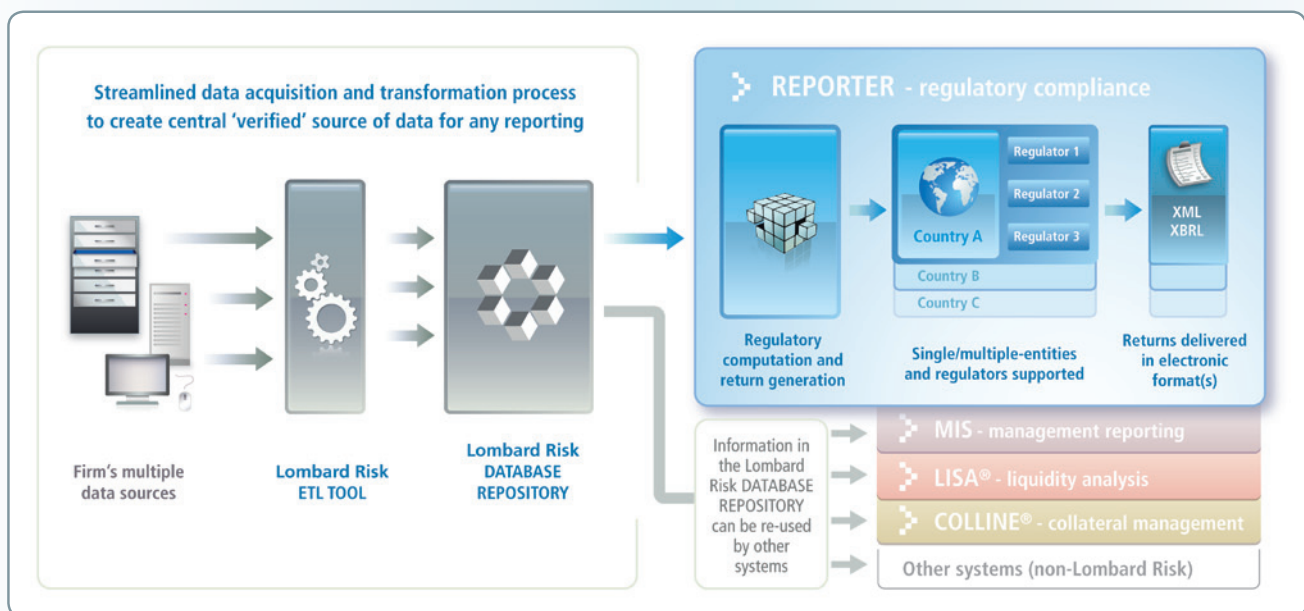
The relentless demand for regulatory compliance is a global challenge for firms around the world. Right now, as Basel III, Solvency II, MiFID2, Dodd-Frank and many other changes are reshaping the landscape, best practice is being redefined.

Capitalise on the problem with REPORTER, the only web-based regulatory automation solution delivering all regulatory computations with integrated workflow, dashboards, trends and validations via high-powered straight-through-processing, delivering optimised management oversight and enabling continuous improvement of this critical area.



## REPORTER keeps pace with regulatory requirements, automating end-to-end from data collection to electronic output

- Powerful automation of supervisory ratios and statistical reporting, in any language
- Capital adequacy, liquidity, large exposures and other risk computations
- Acknowledged "best-in-class" consolidation tool overcomes data problems: any data in, any out
- Unified data model: "extract once, fix once and use many" delivers data for multi-country and multi-purpose support
- Users can define their own reports
- Validations, cross-checks, movement and user-defined sanity checks eliminate reporting operational risk
- Transaction reporting is fully supported
- Straight-Through-Processing (STP) driven by user-configurable workflow delivers exceptions for user review in dashboard
- Dashboard drives user "To-Do" activity - stay ahead of submission deadlines



## Highly scalable for global volume processing capability enables REPORTER to be extended for other business units and geographies as business requirements change

### Dashboard

A live, multi-regional and multi-business-unit view of regulatory reporting status. This rapidly focuses management and users onto key actions needed to eliminate regulatory submission risk.

The dashboard provides a single view of multiple regulators for centralised group-level, regional or business-level control.

Heat maps show concentrations of validation or other errors, such as data volume load exceptions, and/or other user-definable tolerances which expedite rapid corrective action.

### Activity centre

A detailed user-level prioritised listing of actions needed now, next day and 'soon'. Can be viewed at the group level showing all tasks for multiple locations and business units.

Workflow steps, and exceptions arising, can be managed direct from the Activity Centre. Key performance metrics provide data load and report validation error metrics by branch, business unit or user. This detailed Event Recording, including dwell times for corrections made, enables rapid improvement in operational processing.



### Workflow automated processor

Fully automated STP and Exception-based Management of all regulatory reporting functions ensure that manual intervention is only needed when it is absolutely necessary.

Comprehensive user-definable workflow process enables "4-eye" or higher levels of security and control of access and return sign-off for transmission or any other processing function.

### Analysis centre

Graphical representation of all ratios and trends management insight into key issues. Trends Analyser automates reporting of trends in totals, individual cells or user-defined formulae providing key tools for drilling into the reasons and reporting accordingly.

From another angle, Variance Analyser delivers powerful automated comparison of a report to any previous instance, and tools to investigate and record the reasons for variances. Intercompany Analyser provides detailed inter-business unit information and reconciliation reporting.

Analysis Centre also provides full data audit, with detailed drill-downs and drill-forwards, providing both computation audit trails and also traceability from any source to many targets.

### Reporting

Detailed reporting outputs for regulatory reporting; both form-based and transaction-based. Extensive hierarchical reporting at any business unit enables solo or consolidated reporting - and reporting at unit level within legal entity.

Detailed management information at each level of sign-off includes final report dispatch report which lists all manual amendments, variance explanation, and user time and date stamps. REPORTER integrates with Lombard Risk's management information and stress testing solutions (LISA) enabling valuable leverage of approved and signed-off regulatory data for other purposes.

Reporting is available in multiple languages: local regulatory reports can be presented in another location's language, as can the entire menu system thus enabling detailed inclusion of any number of participants in work-flowed, web-delivered sign-off.

### Computation engines

Comprehensive computation of all key regulatory ratios including capital adequacy under Basel requirements, and also liquidity, large exposures, interest rate risk and other computation engines. Unlike other reporting products, REPORTER has all computation rules in the product, over and above mere templates.

Detailed statistical reporting to support balance sheet, profit and loss, maturity analysis, and country, currency, industry, customer type and all other relevant analyses are fully supported. Auditable computation logs and transparent computation rules provides for easy confidence around treatment of data.

### Data management

Lombard Risk's ETL functionality which underpins and manages the Lombard Risk data model is the world's best regulatory reporting data management interface. This is exactly what it has been built to do and delivers as much, or as little, automated data correction as you require, thereby optimising the balance between saving IT effort and giving user control over mappings.

Powerful data management rules enrich or add data and automate manual amendments for known data issues.

Enables loading and normalisation of data in multiple, different, raw formats, from text to database. There is no need to prepare interface files in any specific format, saving substantial effort and ensuring even greater transparency in audit trails.

### For more information:

visit our website: [www.lombardrisk.com](http://www.lombardrisk.com)

email: [info@lombardrisk.com](mailto:info@lombardrisk.com)



## Lombard Risk

Lombard Risk enables firms in the financial industry significantly to improve their approach to managing the risk in their businesses. Founded in 1989 and headquartered in London, Lombard Risk has offices in New York, Shanghai, Hong Kong, Luxembourg and Singapore. Our clients include banking businesses - over 20 of the world's "Top 50" financial institutions - almost half of the banks operating in the UK, as well as investment firms, asset managers, hedge funds, fund administrators and large corporations worldwide.

## Managing collateralised trading - Enabling regulatory compliance

The Lombard Risk solution suite is developed and supported by an extensive team of risk and financial experts and includes:

**COLLINE® - collateral management.** A state-of-the-art, web-based solution designed by experienced business practitioners for end-to-end, cross-product collateral management. It provides a consolidated solution for mitigating credit risk while satisfying the growing demand for multiple global entities, cross-product margining, Central Counterparty Clearing (CCP), MIS reporting and electronic messaging.

**REPORTER - regulatory reporting.** A fully scalable solution for regulatory compliance at branch and/or head office level with global coverage. Fully supports key supervisory computations and integrates with LISA for stress testing and scenario analysis - now part of the regulatory scene. Powerful and streamlined integration to multiple source systems enabled by the ETL functionality.

**LISA® - scenario analysis and stress testing.** LISA® satisfies the latest liquidity risk management requirements and supports growing regulatory demands for timely and reliable information.

**MIS - management reporting.** A flexible and easy-to-use module for reporting across the Lombard Risk product range AND with external sources. Provides valuable Business Intelligence by combining risk and regulatory information in reports or on-screen dashboards, enabling well-informed business decisions to be made with confidence.

The Lombard Risk software solution suite also includes OBERON® trade capture and valuation and FIRMAMENT® credit and equity valuation.

## For more information:

visit our website: [www.lombardrisk.com](http://www.lombardrisk.com)

email: [info@lombardrisk.com](mailto:info@lombardrisk.com)

