



## PRESS RELEASE

### Lombard Risk announces new version of regulatory reporting solution

- **Version 5 of STB-Reporter: web-based with stress testing and MIS**

**LONDON, UK - 6<sup>th</sup> September 2011:** [Lombard Risk](#) Management plc (LSE: LRM) ("Lombard Risk"), a leading provider of integrated collateral management and liquidity, regulatory and MIS reporting solutions for the financial services industry, announces **a new version of its regulatory reporting solution** - using web technology, with significantly enhanced and many new features - as a key component of its integrated solution.

The increase in demand for regulatory compliance post financial crises is a major challenge for firms around the world. Lombard Risk's regulatory reporting solution meets those requirements, automating the process end-to-end from data collection to electronic output. The Lombard Risk regulatory reporting solution is used by more than 250 firms around the world; over 40% of the UK-based financial institutions use it to submit FSA and Bank of England returns; and last year Lombard Risk saw 30+ firms use its regulatory reporting, with LISA for liquidity scenario analysis, to meet the FSA's tough new liquidity regulations.

**John Wisbey, CEO of Lombard Risk says** *"We have listened very carefully to our 250+ large and small clients alike in various countries, and to other firms that are looking for a robust, multi-country reporting solution architecture to cope with all the regulatory change that is occurring. This enhanced, web-based version is driven by our own vision of modern regulatory reporting, and addresses the major business issues raised by the many firms we have talked to. Our aim is that no serious firm that is looking at regulatory reporting, whether in one country or across multiple jurisdictions, can leave us off their shopping list".*

**Nick Davies, CTO of Lombard Risk says** *"Implementing our roadmap vision using industry tried and tested web-based workflow technologies, Lombard Risk has taken the best of what made STB-Reporter V4 one of the world's most installed regulatory platforms on a global basis, and has created its next-generation web platform that not only addresses new drivers but reduces total cost of ownership and significantly improves return on investment. The Lombard Risk solution will ensure that our clients have all the information they need to prevent submission issues with their regulators, regardless of the country, and that direct bottom line impact is reduced in an ever increasing complex regulatory landscape."*

Lombard Risk's new web-based regulatory compliance solution, which was recently shown to 100 invited guests at the British Bankers' Association, provides regulatory reporting, stress testing and scenario analysis together with management / business information on a fast, flexible and fully-integrated web platform. The new solution has been developed in direct response to the increasing and changing demands of global regulators for transparency and insight into firms' operations at a detailed level - and will enable them to meet these requirements with confidence.

New version includes:		
<b>New</b>	<b>Dashboards</b>	Providing supervisory information for management oversight of regulatory submission risk at branch/regulator level
	<b>Activity centre</b>	An operational 'to do list' – to maintain focus on prioritised submission activities
	<b>Analysis centre</b>	Providing transparency through KPI and KRIs on transaction and regulatory data and inter-submission period variance reporting
	<b>Workflow designers</b>	Fully configurable to automate business processes (e.g. for data source collection, repair and transformation; and return approval and submission)
	<b>Straight-through-processing</b>	Meeting the demand from regulators to streamline processing, using rules to auto-approve key stages of the submission process in line with the workflow.
	<b>Computational engines</b>	Dedicated calculation components that provide targeted and efficient transformation of complex data processes (e.g. capital analysis and liquidity)
	<b>Exception-based management</b>	Driving operational focus to the resolution and remediation of exceptions that are creating submission risk via the activity centre
<b>Enhanced</b>	<b>Regulatory return module</b>	Multi-lingual, user validation, GU interface to highlight irregularities and ability to trace and map through the entire ETL/transformation process
	<b>ETL tool</b>	To simplify the transfer of information from source systems for regulatory and management information reporting
<b>Expanded and more</b>	<b>Enterprise data model</b>	A regulatory-agnostic, multi-level chart of accounts to store financial and transaction data originating from a firm's multiple source systems, which allows any reports to be generated - reducing change complexity
	<b>Regulatory accelerator packs</b>	Collections of templates, maps and rules (per regulator) that reduce client resource needs and cost, and shorten project timelines in delivering new or changed regulatory returns – leading to a higher ROI

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About **Lombard Risk** – [www.lombardrisk.com](http://www.lombardrisk.com) (London Stock Exchange: LRM)

Lombard Risk enables firms in the financial industry significantly to improve their approach to managing the risk in their businesses. Founded in 1989 and headquartered in London, Lombard Risk has offices in New York, Shanghai, Hong Kong, Luxembourg, South Africa and Singapore. Our clients include banking businesses - over 20 of the world's "Top 50" financial institutions - almost half of the banks operating in the UK, as well as investment firms, asset managers, hedge funds, fund administrators and large corporations worldwide.

**The Lombard Risk solution suite is developed and supported by an extensive team of risk and financial experts and includes:**

**[COLLINE](#)**<sup>®</sup> - **collateral management and clearing.** A state-of-the-art, web-based solution designed by experienced business practitioners for end-to-end, cross-product collateral management. It provides a consolidated solution for mitigating credit risk while satisfying the growing demand for multiple global entities, cross-product margining, Central Counterparty Clearing (CCP), MIS reporting and electronic messaging.

(STB-)**[REPORTER](#)** - **regulatory reporting.** A fully scalable solution for regulatory compliance at branch and/or head office level with global coverage. Fully supports key supervisory computations and integrates with LISA for stress testing and scenario analysis – now part of the regulatory scene. Powerful and streamlined integration to multiple source systems enabled by the ETL functionality.

**[LISA](#)**<sup>®</sup> - **scenario analysis and stress testing.** LISA satisfies the latest liquidity risk management requirements and supports growing regulatory demands for timely and reliable information.

**[MIS](#)** – a flexible and easy-to-use module for reporting across the Lombard Risk product range AND with external sources. Provides valuable **business intelligence** by combining risk and regulatory information in reports or on-screen dashboards, enabling well-informed business decisions to be made with confidence.

The Lombard Risk software solution suite also includes **[OBERON](#)**<sup>®</sup> trade capture and valuation and **[FIRMAMENT](#)**<sup>®</sup> credit and equity valuation.

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